

PATENT
Attorney Docket No. MRE-001
(9249/1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Ruth
SERIAL NO.: Not Yet Assigned GROUP NO.: Not Yet Assigned
FILING DATE: July 16, 2003 EXAMINER: Not Yet Assigned
TITLE: A SYSTEM AND METHOD FOR PROVIDING CORPORATE
GOVERNANCE-RELATED SERVICES

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

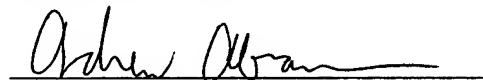
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Andrew F. Abramson
Attorney for Applicant(s)
Testa, Hurwitz, & Thibeault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: July 16, 2003
Reg. No. 52,538

Tel. No.: (617) 248-7506
Fax No.: (617) 248-7100

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| FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT | | | | ATTORNEY DOCKET NO.: MRE-001 APPLICANT(S): Ruth SERIAL NO.: Not Yet Assigned FILING DATE: July 16, 2003 GROUP: Not Yet Assigned | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAM. INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | A1 | 6,154,731 | 11/28/00 | Monks et al. | 705 | 35 | Sept. 3, 1998 |
| U.S. PATENT APPLICATION PUBLICATION DOCUMENTS | | | | | | | |
| | A2 | US 2003/0018487 A1 | 1/23/03 | Young et al. | 705 | 1 | Mar. 7, 2002 |
| | A3 | US 2003/0126073 A1 | 7/3/03 | Lawrence | 705 | 38 | Dec. 6, 2002 |
| EXAMINER | | | | DATE CONSIDERED | | | |

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